







Telephone (Cell) \_\_\_\_\_ Telephone (Fax) \_\_\_\_\_

Postal address \_\_\_\_\_

\_\_\_\_\_ Code \_\_\_\_\_

E-mail address \_\_\_\_\_

**9. Intermediary declarations:**

1. I warrant that I am an accredited Intermediary of Intervest and the client whom I represent duly authorizes me.
2. I warrant that my personal details and particulars that I have supplied in the Intervest online application forms are true and correct, and that I shall duly notify Intervest in writing or via the Intervest website of any changes.
3. The responsibility for the confidentiality of the client's user name and password rests with the client. I shall make this clear to the client and shall under no circumstances induce any client to disclose his password to me. I shall immediately notify Intervest if any client makes his password known to me so that Intervest can furnish the relevant client with a new password. Intervest does not accept any liability and I agree not to hold Intervest liable for any damages or loss of any kind resulting from the client's disclosure of his user name or password to any third party (including myself), and I indemnify Intervest and hold Intervest harmless in respect of any loss which Intervest may suffer as a result of any client disclosing his password to me.
4. FICA DECLARATION - I warrant that I have:
  - made the disclosures required in terms of the Financial Advisory and Intermediary Services Act 37 of 2002 and subordinate legislation thereto, to the client.
  - explained all fees that relate to this investment to the client and I understand and accept that the client may withdraw the authority for payment of the Intermediary fees to me by writing to the administrator.
  - adequate Internal Rules in relation to the Financial Intelligence Centre Act (No. 38 of 2001 – FICA), also known as KnowYourClient.
  - adequate procedures to ensure identification and verification of clients and record keeping of such documentation in terms of the provisions of Section 21 and 23 of FICA.
  - Performed the necessary checks to verify the identity of this specific client and am not aware of anything suspicious regarding this transaction.
5. Intervest reserves the right to request the receipt of any of the FICA documents at any time via email/fax if deemed necessary.

Signature of intermediary \_\_\_\_\_ Date      /      /       
DD MM YYYY

Applicant's initials
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